



## TWO-DAY WORKSHOP IT GOVERNANCE, SECURITY AND AUDIT – FROM CONCEPTS TO PRACTICE

**DATE:**

9 & 10 JANUARY 2020  
(THURSDAY & FRIDAY)

**TIME:**

9AM TO 5PM

**VENUE:**

SCHOOL OF CONTINUING  
EDUCATION, HONG KONG  
BAPTIST UNIVERSITY, 26/F, WU  
CHUNG HOUSE, 213 QUEEN'S  
ROAD EAST, WAN CHAI, HK

**MEDIUM OF INSTRUCTION:**

CONDUCTED IN ENGLISH

**CPE HOURS:**

16 CPE HOURS

### REGISTRATION

#### [Online Registration](#)

Registration Deadline:  
Friday, 3 January 2020

### ENQUIRY

Tel: (852) 8101 2801  
Email: [events@isaca.org.hk](mailto:events@isaca.org.hk)

This two-day workshop is designed for non-IT auditors and relatively new IT auditors to develop practical skills for IT governance, security, risk management and audit professionals. You will gain significant knowledge on risk management and control frameworks, as well as security best practices for information and related technologies. Our award-winning instructor is best known for explaining technical matters in simple business terms, and he will also share his experiences with you, using real-life examples. Finally, you will be able to apply what you have learned through a set of customised exercises and case studies. At the end of this workshop, you would have learned tested management techniques and tools to implement a secure control environment and to perform IT assessments for your organisation.

### SPEAKER



Professor Frank Yam, Chairman & CEO of Focus Strategic Group Limited

Professor Frank Yam is the Chairman and CEO of Focus Strategic Group Inc, a consulting firm providing Business and Technology advisory services, with special focus on compliance, internal auditing, IT auditing, risk management and cyber-security. He started his professional career in international auditing and information security in 1984, and has held management positions at KPMG, and a number of multi-national corporations, including several leading international financial institutions. Professor Yam has been very active in professional and community services and served in leadership role of numerous local and international professional bodies. Most notably, he served six terms on the Board of Directors for ISACA International with over 150,000 members around the world. He was also the founder of the ACFE Hong Kong Chapter, and Chairman of the Nomination Committee for ACIIA (Asian Confederation of the Institute of Internal Auditors). Professor Yam currently serves as Governor of IIA Hong Kong and Certification Board member of the Hong Kong Institute for IT Professional Certifications.

Professor Yam is a very popular speaker of a wide variety of topics at seminars and conferences for professional organisations and government agencies, including many Central Banks, the INTERPOL and the Asian Development Bank. In recent years, he has been focusing on promoting the awareness and best practices of Innovation based on Design-Thinking, Corporate and IT Governance, Internal Audit, Risk Management and Internal Controls, Frauds, Emerging Technologies, and Cyber-security in the Asia Pacific Region.

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## WORKSHOP AGENDA

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1. IT Governance, Security and Audit – From Concepts to Practice
  - IT Risks
  - The Need for Controls
  - The Key Players and Their Roles
  - Corporate Governance
  - Compliance to Regulations
  - Enterprise Governance of IT
  - Linking IT objectives with business objectives
  
2. Risk Management and Control Frameworks
  - Overview of globally recognized risk management and control frameworks
  - COBIT – Control Objectives for Information and Related Technology
  - Why You Should Care
  - What You Should Do
  
3. IT General Controls
  - Differentiating General Controls and Application Controls
  - Scope of IT General Controls Review
  - Placing Reliance on IT General Controls
  - Physical Security
  - Information Security
  - Disaster Recovery and Business Continuity
  
4. IT Application Controls
  - Types of Business Applications
  - Scope of Application Controls Review
  - Input and Processing Models
  - SDLC – Systems Development Life Cycle
  - Typical Application Controls
  
5. Core Skills to Master
  - IT Risk Assessment and Management
  - Innovation in the Digital Era
  - CAATs (including GAS and RPA)
  - Presentation and Communications
  - Project Management
  
6. Case Studies

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## WORKSHOP FEES

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	Early bird (Deadline: On or before 30 Dec 2019)	Regular (Deadline: On or before 3 Jan 2020)
ISACA Member	HK\$ 4,000	HK\$ 4,400
Member of HKACFE/ HKCS/ HKICPA/ CPA Canada/ IIA HK	HK\$ 4,800	HK\$ 5,200
Non-member	HK\$ 6,000	HK\$ 6,400

Remarks: -

- Enrolment is on a first-come-first-served basis.
- ISACA China HK Chapter reserves the right to cancel or reschedule the workshop.
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## CERTIFICATE

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A Certificate of Completion will be given on full participation of the workshop.  
(Please keep the certificate as a CPE proof.)